

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF NEW YORK

---

JOEL RIFKIN,

Plaintiff,

-vs-

GLENN S. GOORD, et al.,

Defendants.

**ANSWER TO  
AMENDED COMPLAINT**

99-CV-6479L(B)

**JURY TRIAL DEMANDED**

Defendant Gail Hallerdin, by her attorney, Eliot Spitzer, Attorney General of the State of New York, Charles D. Steinman, Assistant Attorney General, of counsel, in answer to the amended complaint, herein alleges:

1. Admits the allegations contained in paragraphs 6, 7, 14, 18<sup>1</sup>, 37 and 77.
2. Denies the allegations contained in paragraphs 69, 71, 72, 84, 85, 86, 87 and 88.
3. Denies so much of paragraph 1 which alleges that the defendant Hallerdin deprived the plaintiff of any of his rights and privileges secured by the Constitution of the United States and denies knowledge or information sufficient to form a belief as to the truth or falsity of the remainder of said paragraph.
4. Denies so much of paragraph 8 which alleges that former Supt. Kelly was the "surrogate of the Commissioner" and admits the remainder of said paragraph.

---

<sup>1</sup>It is assumed that the tenth word on the second line of this paragraph is intended to be "threat", rather than "treat".

5. Denies so much of paragraph 9 which alleges that Victor Herbert is the current Superintendent of the Attica Correctional Facility, that is he is "in a surrogate position to the Commissioner" and admits the remainder of said paragraph.

6. Denies so much of paragraph 11 which alleges that the defendant Hallerlin was "in a surrogate position to the Commissioner" and admits the remainder of said paragraph.

7. Denies so much of paragraph 12 which alleges that defendant James Conway is "in a surrogate position to the Superintendent at Attica" and admits the remainder of said paragraph.

8. Denies knowledge or information sufficient to form a belief as to the truth or falsity of so much of paragraph 13 which alleges that the defendant Kirkpatrick was a witness called at the plaintiff's hearing and admits the remainder of said paragraph.

9. Admits the allegations contained in paragraph 16 except that portion thereof which alleges that the regulatory basis of said allegations is 7 N.Y.C.R.R. §301.4(b), which portion is denied.

10. Admits so much of paragraph 32 which alleges that the plaintiff was assaulted at Rikers Island and denies knowledge or information sufficient to form a belief as to the truth or falsity of the remainder of said paragraph.

11. Admits the allegations contained in paragraph 36 and affirmatively asserts that Lt. Bartz also specified that the safety of Rifkin was a consideration in his recommendation.

12. Denies so much of paragraph 63 which alleges that the plaintiff's placement in administrative segregation was not related to his "affirmative conduct" and admits the remainder of said paragraph.

13. Denies so much of paragraph 70 which alleges that the defendant's decision was arbitrary or capricious or denied the plaintiff equal protection and treatment under the United States Constitution and respectfully refers to the regulation and directive cited therein for the full and accurate contents thereof.

14. Denies the allegations of paragraph 75 as they relate to the actions of the defendant Hallerdin and denies knowledge or information sufficient to form a belief as to the truth or falsity of the remainder of said paragraph.

15. As to the allegations contained in paragraphs 15, 17, 19, 21, 22, 23, 24, 25, 26, 67 and 68, respectfully refers to the regulations and directives referred to therein for the full and accurate contents thereof and, to the extent that the allegations in said paragraphs vary from the regulations and directives referred to, denies same.

16. Denies knowledge or information sufficient to form a belief as to the truth or falsity of the allegations contained in paragraphs 2, 3, 4, 5, 10, 27, 28, 29, 30, 31, 33, 34, 35, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 64, 65, 66, 73, 74, 76, 77, 78, 79, 81, 82 and 83.

17. Defendant denies the allegations of the complaint which allege in any manner that the actions of the defendant resulted in a deprivation of the plaintiff's constitutional rights.

18. That defendant denies each and every other allegation of the complaint not heretofore admitted, denied, or otherwise controverted.

**AS AND FOR A FIRST, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES**

19. That the complaint fails, in whole or in part, to state a claim upon which relief can be granted.

**AS AND FOR A SECOND, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

20. That defendant, at all times relevant hereto, acted without malice and under the reasonable belief that her actions were proper and in accordance with existing law.

21. Consequently, the defendant is entitled to qualified immunity.

**AS AND FOR A THIRD, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

22. The claims set forth in the complaint are barred, in whole or in part by the principles of collateral estoppel.

**AS AND FOR A FOURTH, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

23. The plaintiff has failed to comply with the exhaustion requirements of the Prison Litigation Reform Act, 42 U.S.C. §1997e.

**AS AND FOR A FIFTH, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

24. The doctrine of respondeat superior does not apply in actions brought pursuant to 42 U.S.C. §1983, and bars relief to the plaintiff.

**AS AND FOR A SIXTH, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

25. That this action is barred, in whole or in part, by the Eleventh Amendment to the United States Constitution.

**AS AND FOR A SEVENTH, SEPARATE AND  
COMPLETE AFFIRMATIVE DEFENSE,  
DEFENDANT ALLEGES:**

26. That this action is barred, in whole or in part, by the applicable statute of limitations.

WHEREFORE, defendant prays that judgment be entered dismissing the complaint in all respects and that defendant be awarded reasonable costs and attorneys' fees and for such other and further relief as may be just, proper, and equitable.

Dated: January 13, 2004  
Rochester, New York

ELIOT SPITZER  
Attorney General of the  
State of New York  
Attorney for Defendant Hallerdin

S/ Charles D. Steinman  
CHARLES D. STEINMAN  
Assistant Attorney General  
of Counsel  
NYS Office of the Attorney General  
144 Exchange Boulevard, Suite 200  
Rochester, New York 14614  
Telephone: (585) 546-7430  
Charles.Steinman@oag.state.ny.us

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF NEW YORK

---

JOEL RIFKIN,

Plaintiff,

-vs-

99-CV-6479

GLENN S. GOORD, et al.,

Defendants.

---

CERTIFICATE OF SERVICE

I certify that on January 13, 2004, I electronically filed the foregoing Answer to Amended Complaint with the Clerk of the District Court using CM/ECF system, which sent notification of such filing to the following:

1.

And, I hereby certify that I have mailed, by the United States Postal Service, the document to the following non-CM/ECF participant(s):

1.     Joel Rifkin, 95-A-6514  
Clinton Correctional Facility  
Route 374, Cook Street  
P.O. Box 2001  
Dannemora, New York 12929

ELIOT SPITZER  
Attorney General of the  
State of New York  
Attorney for Defendant Hallerdin

s/ Charles D. Steinman  
CHARLES D. STEINMAN  
Assistant Attorney General  
of Counsel  
NYS Office of the Attorney General  
144 Exchange Boulevard, Suite 200  
Rochester, New York 14614  
Telephone: (585) 546-7430  
Charles.Steinman@oag.state.ny.us